Customer No. 22,852 Attorney Docket No. 01197.0255

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	Application of:	)
Takas	shi TSUNODA et al.	)
Applic	cation No.: Not Yet Assigned	) Group Art Unit:
Filed:	July 5, 2005	) Examiner: )
For:	PROCESS FOR PRODUCING ALCOHOL AND/OR KETONE	) ) )

U.S. National Phase of International Application No. PCT/JP2003/016722

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO/SB/08 and cited in the international search report. Copies of the listed foreign patent documents and the listed non patent literature document are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO/SB/08 and indicate that they were considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed with the above-referenced application.

The following are listed on the accompanying PTO/SB/08 and are in a non-English language:

- 1. Japanese Patent Application No. 49-72209 The relevance of this document is discussed at page 2 of the specification of the present application. An English-language abstract of this document is also enclosed.
- 2. Japanese Patent Application No. 59-163335 The relevance of this document is discussed at page 2 of the specification of the present application. An English-language abstract of this document is also enclosed.
- 3. Japanese Patent Application No. 47-8046 The relevance of this document is discussed at page 3 of the specification of the present application. A partial translation of this document is also enclosed.
- 4. Japanese Patent Application No. 49-61112 The relevance of this document is discussed at page 3 of the specification of the present application. A partial translation of this document is also enclosed.
- Japanese Patent Application No. 49-34652 The relevance of this document is discussed at pages 3 and 4 of the specification of the present application.
   A partial translation of this document is also enclosed.
- 6. Edited by Shokubai GAKKAI; "SHOKUBAI KOZA DAI 5 KAN"; Kodansha Scientific, pages 260-269, (1985). A partial translation of this document is also enclosed.

In lieu of a statement of relevance or the translation of document No. 6, enclosed is an English-language international search report from the Japanese Patent Office in

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the PCT international application, from which this national phase U.S. application is

derived, citing this document and setting forth the relevance thereof.

This submission does not represent that a search has been made or that no

better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies any of the

documents as prior art against any claim in the application and applicants determine

that the cited documents do not constitute "prior art" under United States law, applicants

reserve the right to present to the Office the relevant facts and law regarding the

appropriate status of such documents. Applicants further reserve the right to take

appropriate action to establish the patentability of the disclosed invention over the listed

documents, should one or more of the documents be applied against the claims of the

present application.

If there is any fee due in connection with the filing of this Statement, please

Bv: <

charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW.

GARRETT & DUNNER, L.L.P.

Dated: July 5, 2005

Ernest F. Chapman

Reg. No. 25,961

**Enclosures** 

EFC/FPD/gah

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				00201100	41 41/1 14		
IDS Form PTO/S	DS Form PTO/SB/08: Substitute for form 1449A/PTO			Complete if Known			
				Application Number	111/541/0/		
INF	ORMATION D	ISCLOSU	RF	Filing Date	July 5, 2005		
STATEMENT BY APPLICANT				First Named Inventor	Takashi TSUNODA		
			MA 1	Art Unit			
(Use as many sheets as necessary)				Examiner Name			
Shoot	1 1	of	1	Attornov Docket Number	01107 0255		

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner	Cite	Document Number	Issue or	Name of Patentee or	Pages, Columns, Lines, Where
Initials	No.¹	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	· · · · · · · · · · · · · · · · ·	Relevant Passages or Relevant Figures Appear
		US-3,987,104	10-19-1976	Vanhauten	
					-

Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		JP-49-72209	07-12-1974			Abstract
		JP-59-163335	09-14-1984	TOKUYAMA SODA KK		Abstract
		JP-47-8046	03-08-1972	SUTAMI CARBON		Partial Translation
		JP-49-61112	06-13-1974	SUTAMI CARBON		Partial Translation
		JP-49-34652	09-17-1974	SUTAMI CARBON		Partial Translation
		GB-1 324 717	07-25-1973	STAMICARBON N.V.		
		GB-1 240 858	07-28-1971	STAMICARBON N.V.		
		EP-0 614 872 A1	09-14-1994	NIPPON SHOKUBAI CO., LTD.		

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>		
		Edited by Shokubai GAKKAI; "SHOKUBAI KOZA DAI 5 KAN"; Kodansha Scientific, pages 260-269, (1985)	Partial Translation		

Examiner	Date	
Signature	 Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

